



**Risk profiling process for the client**

**Full Name :-** ..... **Mobile No. :-** .....  
**City :-** ..... **State :-** .....

**Please Tick below on mentioned column for question's answer.**

**1) What is your Age Group ?**

18-30		51-60	
31-40		Above	
41-50			

**2) What is your Investment Goal**

Capital Appreciation	
Regular Income	
Capital Appreciation and Regular Income	

**3) What is your Investment Amount**

Below 1 lac		2-5 lac	
1-2 lac		Above 5 lac	

**4) What is your Gross Annual Income :-**

Below 1 lac		5-10 lac	
1-3 lac		10-25 lac	
3-5 lac		Above 25 lac	

**5) What is your Preferred Investment type**

Intraday	
Short term Positional	
Long term Positional	

**6) What is your Sources of Income**

**A. Primary Source**

Salary		Business	
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**B. Secondary Source**

Royalties		Rental Income	
Others (please specify) .....		Dividend Income	

**7) What is your Trading Experience**

Below 1 years	
1-3 years	
3-5 years	
Above 5 years	

**8) What is Market Value of portfolio held according to you**

Below 1 lac	
1-2 lac	
2-5 lac	
Above 5 lac	

**9) What is your Experience in market products**

BSE Stock		Commodity	
NSE Stock		All	
Derivatives			
Stocks			

**10) How many dependents do you financially support ?**

None	
Between 1-2	
Between 2-5	
Above 5	

**11) What is the size of your emergency fund?**

Do not have	
Below 1 month income	
1-3 months income	
3-6 months income	
More than 6 months income	

**12) What is your experience with Share Market ?**

Extensive experience	
Moderate experience	
Very less experience	
No experience	

**13) What is your experience with investments in past ?**

Very Good		Bad	
Good		Poor	
Moderate			

**14) When market is not performing well do you prefer to buy risky investments and sell less risky investments?**

Strongly prefer		Indifferent	
Prefer		Do not prefer	
Strongly do not prefer			

**15) Risk Tolerance**

High	
Medium	
Low	

**16) What percentage of monthly income is allocated to pay off debt [all EMIs]?**

None		20% - 35%	
0% -20%		35% - 50%	
Above 50%			

**7) Occupation (please select the appropriate)**

Private sector service		Business	
Public sector, Government sector		Agriculturist	
Professional		Housewife	
Retired		Dealer	
Other (Specify -		Student	

**18) Are you any of the following, or are directly or indirectly related to any of the following**

Civil Servant	
Politician	
Current or former head of state	
Bureaucrat (Tax authorities, Foreign Services, IAS etc),	
Current or former MP/MLA/MLC	
Connected to Media	
Connected to any company/promoter group / group of companies listed on any stock exchange	
None	

**Name of the company - Tradexic Stock Research**

**Any specific commodities or shares in which you have experience and are willing to trade, if yes Name of the securities .....**

**Client with Low Risk Tolerance, Low Emergency Fund & Clients with Long term Investment goal or capital appreciation as investment objectives are not accepted at A1 Intraday Tips.**

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Date: ...../...../.....

Signature: .....

**Note :- After filling your risk profiling form, please email the scan copy at info@tradexic.in**